PTO/SB/21 (04-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE k Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/656,495 TRANSMITTAL Filing Date September 5, 2003 **FORM** First Named Inventor Steven Jeffrey Goldberg Art Unit 2681 (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Known Attorney Docket Number I-2-0397.1US **Total Number of Pages in This Submission ENCLOSURES** (Check all that apply) After Allowance communication Drawing(s) Fee Transmittal Form to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request Request for Refund Express Abandonment Request Six (6) Cited References Supplemental CD, Number of CD(s) Information Disclosure Statement

(Appeal Notice, Brief, Reply Brief) Form PTO-1449 and Copies of Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John C. Donch, Jr. Reg. No. 43,593 Volpe and Koepig, P.C. Individual name Signature 23/04 Date CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below

Typed or printed name	John C	Donch, Jr.			ı	/	
Signature			Date	6	23	04	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Our File: I-2-0397.1US

Date:

June 23, 2004

HE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Steven Jeffrey Goldberg

Application No.: 10/656,495

Confirmation No.: 8356

Filed:

September 5, 2003

For: VERTICAL DYNAMIC BEAM-FORMING

Group:

2681

Examiner:

Not Yet Known

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed Form PTO-1449. Copies of the cited references are enclosed.

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the Form PTO-1449 indicating consideration of the cited materials.

Respectfully submitted,

Steven Jeffrey Goldberg

Volpe and Koenig, P.C. United Plaza, Suite 1600 30 South 17th Street Philadelphia, PA 19103 JCD/sag Enclosures

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT Steven Jeffrey Goldberg				
				FILING DATE September 5, 2003	GROUP 2681			
	(Us	e several sheets if necessary)	 					
	<u> </u>		U.S. PATEN	T DOCUMENTS		1		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS		DATE IF OPRIATE
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		OTHER DOCUMENTS	(Including A	uthor, Title, Date, Pertinent Pag	es, Etc.)			
	AL	TSG-RAN Meeting #14, Kyoto, Japan, December 11-14, 2001, RP-010834.						
	AM	TSG-RAN Meeting #15, Jeju-do, Korea, March 5-8, 2002. RP-020005.						
	AN	TSG-RAN Meeting #16, Marco Island, FL, USA, June 4-7, 2002, RP-020266.						
	AO	TSG-RAN Working Group 3, Sophia Antipolis, France, June 24-28, 2002, TSGR3#30(02) 1703, Minutes of WG3 Meeting #29, Gyeongju, Korea, May 13-17, 2002.						
	AP	"Control interface for antenna line devices," Antenna Interface Standards Group, Standard No. AISG1: Draft 8, (1st Public Draft), November 18, 2002, pgs. 1-54.						
	AQ	TSG-RAN Meeting	g #19, Biringh	am, United Kingdom, March 11	-14, 2003,	RP-030022	2.	

EXAMINER	DATE CONSIDERED